

NOTICE OF MEETING

Dear Councillors

I respectfully advise that the ORDINARY COUNCIL MEETING will be held in Council Chambers, York Town Hall, York on Tuesday, 25 July 2023, commencing at 5.00pm.

MEETING LATE AGENDA ATTACHED

Chris Linnell

CHRIS LINNELL CHIEF EXECUTIVE OFFICER Date: 19 July 2023

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MISSION STATEMENT "Building on our history to create our future"

Order Of Business

12	12 Business of an Urgent Nature Introduced by Decision of the Meeting					
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12 BUSINESS OF AN URGENT NATURE INTRODUCED BY DECISION OF THE MEETING

SY093-07/23 MINUTES AND RECOMMENDATIONS OF THE AUDIT AND RISK COMMITTEE MEETING HELD ON 18 JULY 2023

File Number:	4.0474
Author:	Vanessa Green, Council & Executive Support Officer
Authoriser:	Chris Linnell, Chief Executive Officer
Previously before Council:	Not Applicable
Disclosure of Interest:	Nil
Appendices:	1. Unconfirmed Minutes - 18 July 2023 J

NATURE OF COUNCIL'S ROLE IN THE MATTER

Legislative

Executive

PURPOSE OF REPORT

This report presents the Unconfirmed Minutes of the Audit and Risk Committee (the Committee) Ordinary Meeting held on Tuesday 18 July 2023 to Council for consideration.

BACKGROUND

At its Ordinary Meeting held on Tuesday 18 July 2023 (rescheduled from Tuesday 13 June 2023), the Committee was presented with information relating to the:

1. Risk Management Dashboard & Profile Update

The Unconfirmed Minutes of the Committee's Meeting are presented in Appendix 1.

COMMENTS AND DETAILS

Further details and the implications of the report is identified within the Committee's Minutes hence is not duplicated here. However, for ease of reference the Committee's recommendations were:

TABLE 1.

ITEM		RECOMMENDATION
Risk Dashboard	Management & Profile	That, with regard to the Risk Management Update as at 17 May 2023, the Audit and Risk Committee recommends that Council:
Update		 Receives the Shire of York Risk Register Dashboard Report as at 17 May 2023, as presented in Appendix 1.
		 Notes the progress made to date regarding the actions contained in the Risk Register and that Officers will review the current system and provide options for future reporting.
		3. Requests the Chief Executive Officer to present the next review of the Risk Register to the Audit and Risk Committee at its September 2023 meeting.

OPTIONS

Council has the following options:

Option 1: Council could choose to accept the Committee's recommendations.

Option 2: Council could choose to reject the Committee's recommendations.

Option 3: Council could choose to resolve differently to the Committee's recommendations.

Option 1 is the recommended option.

IMPLICATIONS TO CONSIDER

Consultative

Audit and Risk Committee Meeting Ordinary Meeting 18 July 2023

Executive Leadership Team

Strategic

Strategic Community Plan 2020-2030

Goal 5: Strong Leadership and Governance

To have effective and responsive leadership and governance, where a sense of collective purpose and shared direction combine to work together.

Goal 4: Built for Lifestyle and Resilience

To have a built environment which supports community, economy, and the environment, respects the past, and creates a resilient future.

Policy Related

- G19 Risk Assessment and Management
- G25 Compliance

Financial

The financial impact of the items considered by the Committee is detailed within the meeting minutes and the individual appendices to each report.

Legal and Statutory

Section 7.1A of the Local Government Act 1995 is applicable and states:

"7.1A. Audit committee

- (1) A local government is to establish an audit committee of 3 or more persons to exercise the powers and discharge the duties conferred on it.
- (2) The members of the audit committee of a local government are to be appointed* by the local government and at least 3 of the members, and the majority of the members, are to be council members.

* Absolute majority required.

- (3) A CEO is not to be a member of an audit committee and may not nominate a person to be a member of an audit committee or have a person to represent the CEO as a member of an audit committee.
- (4) An employee is not to be a member of an audit committee."

Regulation 16 and 17 of the *Local Government (Audit) Regulations 1996* are applicable to the functions of an audit committee and state:

"16. Functions of audit committee

An audit committee has the following functions —

- (a) to guide and assist the local government in carrying out
 - (i) its functions under Part 6 of the Act; and
 - (ii) its functions relating to other audits and other matters related to financial management;
- (b) to guide and assist the local government in carrying out the local government's functions in relation to audits conducted under Part 7 of the Act;
- (c) to review a report given to it by the CEO under regulation 17(3) (the **CEO's report**) and is to
 - (i) report to the council the results of that review; and
 - (ii) give a copy of the CEO's report to the council;
- (d) to monitor and advise the CEO when the CEO is carrying out functions in relation to a review under
 - (i) regulation 17(1); and
 - (ii) the Local Government (Financial Management) Regulations 1996 regulation 5(2)(c);
- (e) to support the auditor of the local government to conduct an audit and carry out the auditor's other duties under the Act in respect of the local government;
- (f) to oversee the implementation of any action that the local government
 - (i) is required to take by section 7.12A(3); and
 - (ii) has stated it has taken or intends to take in a report prepared under section 7.12A(4)(a); and
 - (iii) has accepted should be taken following receipt of a report of a review conducted under regulation 17(1); and
 - (iv) has accepted should be taken following receipt of a report of a review conducted under the Local Government (Financial Management) Regulations 1996 regulation 5(2)(c);
- (g) to perform any other function conferred on the audit committee by these regulations or another written law.

17. CEO to review certain systems and procedures

- (1) The CEO is to review the appropriateness and effectiveness of a local government's systems and procedures in relation to
 - (a) risk management; and
 - (b) internal control; and
 - (c) legislative compliance.
- (2) The review may relate to any or all of the matters referred to in subregulation (1)(a), (b) and (c), but each of those matters is to be the subject of a review not less than once in every 3 financial years.
- (3) The CEO is to report to the audit committee the results of that review."

Risk Related

The Committee plays a key role in overseeing the local government's responsibilities in relation to financial reporting, internal controls, risk management and legislative compliance. This report mitigates the risk of non-compliance.

Workforce

The scope of this report is managed within current operational capacity.

VOTING REQUIREMENTS

Absolute Majority: No

RECOMMENDATION

That, with regard to the Minutes and Recommendations of the Audit and Risk Committee Meeting held on 18 July 2023, Council:

- 1. Receives the Unconfirmed Minutes of the Audit and Risk Committee (the Committee) Meeting held on 18 July 2023, as presented in Appendix 1, and adopts the following recommendations of the Committee:
 - a. Receives the Shire of York Risk Register Dashboard Report as at 17 May 2023, as presented in Appendix 1.
 - b. Notes the progress made to date regarding the actions contained in the Risk Register and that Officers will review the current system and provide options for future reporting.
 - c. Requests the Chief Executive Officer to present the next review of the Risk Register to the Committee at its September 2023 meeting.



UNCONFIRMED MINUTES

Audit and Risk Committee Meeting Tuesday, 18 July 2023

Date: Tuesday, 18 July 2023 Time: 3.00pm Location: Council Chambers, York Town Hall, York

Audit and Risk Committee Meeting Min	utes
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18 July	2023
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18 July 2023

MINUTES OF SHIRE OF YORK AUDIT AND RISK COMMITTEE MEETING HELD AT THE COUNCIL CHAMBERS, YORK TOWN HALL, YORK ON TUESDAY, 18 JULY 2023 AT 3.00PM

1 OPENING

1.1 Declaration of Opening

Cr Denese Smythe, Presiding Member, declared the meeting open at 3.01pm.

Acknowledgement / Disclaimer

The Presiding Member advised the following:

"The York Shire Council acknowledges the Ballardong people of the Noongar Nation who are the Traditional Owners of this country and recognise their continuing connection to land, water, sky and culture. We pay our respects to all these people and their Elders past, present and emerging.

This meeting is being recorded on a digital audio and visual device to assist with minute taking purposes. The public is reminded that in accordance with Section 6.16 of the Shire of York Local Government (Council Meetings) Local Law 2016 that nobody shall use any visual or vocal recording device or instrument to record the proceedings of Council without the written permission of the presiding member.

I wish to draw attention to the Disclaimer Notice contained within the agenda document and advise members of the public that any decisions made at the meeting today, can be revoked, pursuant to the Local Government Act 1995.

Therefore members of the public should not rely on any decisions until formal notification in writing by Council has been received. Any plans or documents in agendas and minutes may be subject to copyright. The express permission of the copyright owner must be obtained before copying any copyright material."

1.3 Attendance via Electronic Means

Nil

1.4 Standing Orders

Nil

1.5 Announcement of Visitors

Nil

1.6 Declarations of Interest that Might Cause a Conflict

Nil

1.7 Declaration of Financial Interests

Nil

Disclosure of Interests that May Affect Impartiality

Nil

18 July 2023

2 ATTENDANCE

2.1 Members

Cr Denese Smythe, Presiding Member

Cr Denis Warnick; Cr Kevin Trent; Mr Peter Carden; Ms Shona Zulsdorf

2.2 Staff

Chris Linnell, Chief Executive Officer; Alina Behan, Executive Manager Corporate & Community Services; Lindon Mellor, Executive Manager Infrastructure & Development Services; Vanessa Green, Council & Executive Support Officer

2.3 Apologies

Nil

2.4 Leave of Absence Previously Approved

Nil

2.5 Number of People in the Gallery at Commencement of Meeting

There were zero (0) people in the Gallery at the commencement of the meeting.

3 QUESTIONS FROM PREVIOUS MEETINGS

Nil

4 PUBLIC QUESTION TIME

Public Question Time is conducted in accordance with the Act and Regulations. In addition to this the Shire's Local Government (Council Meetings) Local Law 2016 states –

6.7 Other procedures for question time for the public

- A member of the public who wishes to ask a question during question time must identify themselves and register with a Council Officer immediately prior to the meeting.
- (2) A question may be taken on notice by the Council for later response.
- (3) When a question is taken on notice the CEO is to ensure that-
 - (a) a response is given to the member of the public in writing; and
 - (b) a summary of the response is included in the agenda of the next meeting of the Council.
- (4) Where a question relating to a matter in which a relevant person has an interest is directed to the relevant person, the relevant person is to—
 - (a) declare that he or she has an interest in the matter; and
 - (b) allow another person to respond to the question.
- (5) Each member of the public with a question is entitled to ask up to 2 questions before other members of the public will be invited to ask their questions.
- (6) Where a member of the public provides written questions then the Presiding Member may elect for the questions to be responded to as normal business correspondence.

	(7)	The Presiding Member may decide that a public question shall not be responded to where—
		 (a) the same or similar question was asked at a previous meeting, a response was provided and the member of the public is directed to the minutes of the meeting at which the response was provided;
		(b) the member of the public uses public question time to make a statemen provided that the Presiding Member has taken all reasonable steps to assist th member of the public to phrase the statement as a question; or
		(c) the member of the public asks a question that is offensive or defamatory i nature, provided that the Presiding Member has taken all reasonable steps t assist the member of the public to phrase the question in a manner that is no offensive or defamatory.
	(8)	A member of the public shall have 2 minutes to submit a question.
	(9)	The Council, by resolution, may agree to extend public question time.
	(10)	Where any questions remain unasked at the end of public question time they may b submitted to the CEO who will reply in writing and include the questions and answer in the agenda for the next ordinary Council meeting.
	(11)	Where an answer to a question is given at a meeting, a summary of the question an the answer is to be included in the minutes.
	Publ	lic Question Time commenced at 3.03pm.
4.1	Writt	ten Questions – Current Agenda
	Nil	
4.2	Publ	lic Question Time
	As tl	here were no questions asked, Public Question Time concluded at 3.03pm.
5	APF	PLICATIONS FOR LEAVE OF ABSENCE
	Nil	
6	PRE	ESENTATIONS
	Nil	
7	CON	VERMATION OF MINUTES OF PREVIOUS MEETINGS
7	CON	VFIRMATION OF MINUTES OF PREVIOUS MEETINGS
		E RECOMMENDATION Kevin Trent Seconded: Mr Peter Carden
		inutes of the Audit and Risk Committee Meeting held on 21 March 2023, the
Spec	ial Au Risk C	dit and Risk Committee Meeting held on 28 March 2023 and the Special Audit Committee Meeting held on 17 April 2023 be confirmed as a correct record of
	aening	
proce	eaing	CARRIED: 5

The Shire President welcomed the Shire's new Executive Manager Infrastructure & Development Services, Mr Lindon Mellor, to his first Audit & Risk Committee Meeting.

18 July 2023

9 OFFICER'S REPORTS

9.1 RISK MANAGEMENT UPDATE AS AT 17 MAY 2023

File Number:	4.4274
Author:	Alina Behan, Executive Manager Corporate & Community Services
Authoriser:	Chris Linnell, Chief Executive Officer
Previously before Council:	28 June 2022 (020622) 27 September 2022 (100922) 20 December 2022 (141222) 21 March 2023 (420323)
Disclosure of Interest:	Nil
Appendices:	1. Risk Dashboard & Profiles J

NATURE OF COUNCIL'S ROLE IN THE MATTER

Executive

PURPOSE OF REPORT

This report provides the Audit and Risk Committee (the Committee) with an update regarding progress on the Shire's Risk Management.

BACKGROUND

The Shire of York's Risk Assessment and Management Policy, in conjunction with the Risk Management Framework, sets out the Shire's approach to the identification, assessment, management and monitoring of risks.

Appropriate governance of risk management within the Shire provides:

- 1. Transparency of decision making
- 2. Clear identification of the roles and responsibilities of the risk management functions
- 3. An effective governance structure to support the Risk Management Framework

The Committee's role and responsibilities include:

- 1. Regular review of the appropriate and effectiveness of the Risk Management Framework
- 2. Support Council to provide effective corporate governance
- 3. Oversight of all matters that relate to the conduct of external audits
- 4. Must be independent, objective and autonomous in deliberations

It is essential to monitor and review the management of risks as changing circumstances may result in some risks increasing or decreasing in significance. By regularly reviewing the effectiveness and efficiency of controls and appropriateness of treatment/action options selected, it can be determined if the organisation's resources are being put to the best use possible.

An internal Risk Working Group (RWG) has been formed to enable Officers from across the organisation to meet regularly and monitor the progress against the Risk Improvement Plan, Risk Management Framework and Register. Further, the RWG is responsible for implementation of timely and effective remedial measures to address risk management deficiencies.

This report presents an update of risks identified and addressed across the organisation for consideration and recommendation to Council. The Committee last considered the Risk

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Management Update at its March 2023 meeting which was endorsed by Council at its March 2023 Ordinary Meeting where it resolved (420323):

"That, with regard to the Minutes and Recommendations of the Audit and Risk Committee Meeting held on 21 March 2023, Council:

- 1. Receives the Unconfirmed Minutes of the Audit and Risk Committee (the Committee) Meeting held on 21 March 2023, as presented in Appendix 1, and adopts the following recommendations of the Committee:
 - a. Resolves to receive the Road Risk Register with the understanding that it is a living document that will be updated on an as needs basis.
 - b. Notes that Officers will review the current system and provide options for future reporting.
 - c. Requests the Chief Executive Officer to present the Road and Drainage Asset Management Plans to the September 2023 Audit and Risk Committee Meeting.
 - d. Receives the Shire of York Risk Register Dashboard Report as at 8 February 2023.
 - e. Notes the progress made to date regarding the actions contained in the Risk Register and that Officers will review the current system and provide options for future reporting.
 - f. Requests the Chief Executive Officer to present the next review of the Risk Register to the Audit and Risk Committee at its June 2023 meeting.
 - g. Resolves to adopt the completed 2022 Compliance Audit Return for certification by the Shire President and the Chief Executive Officer in accordance with Regulation 15(2) of the Local Government (Audit) Regulations 1996.
 - h. Requests the Chief Executive Officer to submit the 2022 Compliance Audit Return to the Departmental CEO of the Department of Local Government, Sport and Cultural Industries prior to 31 March 2023 in accordance with Regulation 15(1) of the Local Government (Audit) Regulations 1996.
 - *i.* Revokes Delegations DE5-2 Tender Evaluation Criteria, DE5-3 Minor Variation for Goods and Services and DE5-5 Tenders to be invited for Certain Contracts.
 - j. Adopts Delegation DE5-6 Tenders for Goods and Services Accepting and Rejecting Tenders, Varying Contracts and Exercising Contract Extension Options.
 - k. Authorises the Chief Executive Officer to amend Policy F2 Procurement to increase the tender threshold limit from \$150,000 to \$250,000 to align with Delegation DE5-6 - Tenders for Goods and Services – Accepting and Rejecting Tenders, Varying Contracts and Exercising Contract Extension Options.
 - I. Authorises the Chief Executive Officer to make any minor typographical and formatting changes to Delegation DE5-6 - Tenders for Goods and Services – Accepting and Rejecting Tenders, Varying Contracts and Exercising Contract Extension Options prior to publication."

In accordance with point 1f of Council's March 2023 resolution, the Register is presented to the Committee for consideration, noting the Committee's Ordinary Meeting scheduled for 13 June 2023 was rescheduled to this meeting of 18 July 2023.

COMMENTS AND DETAILS

The RWG meets regularly to review and update the risk register and prioritise actions considering potential organisational opportunities and the adverse effects that could result. The RWG monitors these risks so that organisational objectives can be achieved considering the Shire's appetite for risk.

A comprehensive RWG review of actions was undertaken on 17 May 2023 and the Risk dashboard updated accordingly. The dashboard details a total of eighty-seven (87) actions that are still in

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progress or ongoing or are new actions to be completed. The updated Risk Dashboard is presented in Appendix 1.

Asset Sustainability

The Transport Asset Management Plans have been developed were presented to Council at its June 2023 Ordinary Meeting (060623). The delayed completion of this project has resulted in a delayed commencement of the Building and Open Space Asset Management Plans. These asset classes are due for a review of Fair Value in the 2022/23 financial year. Since the May RWG meeting Officers have released a Request for Proposal to the market to seek a suitably qualified consultant to undertake this work. This information will inform the Annual Financial Statement for 2022/23 which is due for completion and submission by 30 September 2023.

Employment Practices

Commencement of the updated Workforce Plan has been delayed to the 2023/24 Financial Year due to staffing and budgetary constraints.

Engagement

The 2022/23 Community Scorecard was received by Council at its May Ordinary Council Meeting (OCM) (040523). The Shire was noted to be performing above average in nineteen (19) out of the forty-six (46) areas surveyed. Items of community concern included roads, footpaths, trails and cycleways, town centre development and activation, streetscapes trees and verges, Avon River management, corellas and pigeons. This information has been provided to the consultants responsible for the Minor Strategic Review which commenced in early May 2023.

Errors, Omissions and Delays

The Audit Regulation 17 and FMR Regulation 5 review commenced in early May 2023 to review the Shire's internal controls and risk management. Consultants undertook field testing and will provide a report to be tabled at the September Audit and Risk Committee meeting. This will include recommendations to assist in addressing significant findings identified by the Office of the Auditor General (OAG) in its 2021/22 Audit process.

Information Technology

NBN connections have now been established at the Shire Administration, Depot and Residency Museum facilities through installation of direct fibre connections.

Misconduct

The Audit Regulation 17 and FMR Regulation 5 review will test internal controls and suggest improvements that will assist in misconduct prevention.

A thorough review of the Delegations Register was conducted during May 2023 and was presented to Council at its June OCM (140623). The review upgraded several delegations to align with the WALGA preferred template and addressed identified gaps in the current delegations register.

New actions

The RWG continues to monitor the environment for changes that could result in the need for new actions to mitigate risks to the Shire. There were no new actions identified in this quarter.

Superseded Actions

There were approximately three (3) superseded actions for this period.

Going Forward

Officers have been working with Government Frameworks to transition risk reporting to the new platform. It is anticipated that the new format will be presented to the Audit and Risk Committee at its September 2023 meeting.

OPTIONS

The Committee has the following options:

Item 9.1

- Option 1: The Committee could recommend that Council requests the Chief Executive Officer to ensure all issues have been dealt with within a certain timeframe. However, Officers have focused on those issues that present the highest risk to the Shire for immediate action within current resources and will continue to work through the remaining issues on the same basis.
- Option 2: The Committee could recommend that Council accepts the Shire of York Risk Register Dashboard Report as at 17 May 2023.

Option 2 is the recommended option.

IMPLICATIONS TO CONSIDER

Consultative

LGIS

RWG

Office of the Auditor General

Strategic

Strategic Community Plan 2020-2030

Goal 5: Strong Leadership and Governance

To have effective and responsive leadership and governance, where a sense of collective purpose and shared direction combine to work together.

Policy Related

G19 Risk Assessment and Management

Financial

Financial implications of the proposed risk mitigation strategies are presented to Council as they emerge and inform the annual budget process.

Legal and Statutory

Regulation 17 of the Local Government (Audit) Regulations 1996 is applicable and states:

"17. CEO to review certain systems and procedures

- The CEO is to review the appropriateness and effectiveness of a local government's systems and procedures in relation to —
 - (a) risk management; and
 - (b) internal control; and
 - (c) legislative compliance.
- (2) The review may relate to any or all of the matters referred to in subregulation (1)(a), (b) and (c), but each of those matters is to be the subject of a review not less than once in every 3 financial years.
- (3) The CEO is to report to the audit committee the results of that review."

Risk Related

The development and regular update of an organisational Risk Register is a risk management tool.

Workforce

It is proposed that risk mitigation actions are undertaken within current resources. Where additional resources are required, this will be identified and submitted as part of the annual budget process.

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18 July 2023

VOTING REQUIREMENTS

Absolute Majority: No

COMMITTEE RECOMMENDATION

Moved: Ms Shona Zulsdorf

Seconded: Cr Kevin Trent

That, with regard to the Risk Management Update as at 17 May 2023, the Audit and Risk Committee recommends that Council:

- 1. Receives the Shire of York Risk Register Dashboard Report as at 17 May 2023, as presented in Appendix 1.
- 2. Notes the progress made to date regarding the actions contained in the Risk Register and that Officers will review the current system and provide options for future reporting.
- 3. Requests the Chief Executive Officer to present the next review of the Risk Register to the Audit and Risk Committee at its September 2023 meeting.

CARRIED: 5/0

Item 9.1

18 July 2023

Shire of York May 2023

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Item 9.1 - Appendix 1

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Item SY093-07/23 - Appendix 1

Environment management		Mala Connel : Maderala Adequate	Enters, emissions & delega		Non Control Nuteros Adequite	External (heft & fraud (including Cyber)		NiA Commit
inalequale prevention, identification, enforcement and	E management	of environmental lavues.	Errors, onesaions or delays in operational activities as to follow due process instuding, incomplete, inadequate customers or internal staff.			Loss of funds, asserb, data or unauthorised access, is enterse parties, through any means including electron		nd or ancientification
Actions	Due Date	Responsibility	Actors	Due Date	Responsibility	Actions	Due Data	Responsibility
NextReadors of new and review of correct holdes; abox locations for an dangered flore on roadsides	(heyeley)	EM03	Inglement is additionally program the bookies retreated teacing on procurement	Cingening	66623	Perior procedures and provide reheater beining or ceah handing	514(5)(23	PM.
Develop a coordinated approach to chapertriating information to new and ecologicalitents de wante and other environmental initiatives	Organy	69905	Pairais Procument Policy and Procedures	106-0123	ev	Desument clear internet processes and automnt to report any potential feature, that include anonymous resorting. If have & Compilency	104003	BHCC3
Conduct & recipcing indication program once new weath contection contract is apprecia	5640422	890	Water deleyed by Interi Nette	Ongoing	204020	browingaria caletrials operations at the GPIC Paulity	1082625	84400
Develop a strategy to matege contribution of the SNNs of York.	Orgoing	OSCIEND	Cindertake Júsinešá planning to develop ar esiler regislerivecining system	-101/2523	ande:	Респолерья сарыналаруусанынан	0060122	ENCORN
Decelop and implement process, see for the use of Reservous chemicals to include strategies for community consumers.	0048123	61405	Develop the intervel for use of sieff to display current socurrents and information	10000003	.400	Review departments' responsibilities extend security and easigning feels appropriately	5082982	87
			Mapping the sustainer expectations for sents including action request/process and fooking to improvements	1062522	81400			
Management of Pacilities / Venues / Event	1	Figh Control Las Adreses	IT or communication systems and initials	uchen	Rick Control Moderate Adequate	Misconduct		Tele Control Made do Control
Fature to effectively manage the day to day specialism	k of Nacillities, 1	enues and or events.	Deception, francial loex or carriage to reputation they systems.			Interdocal activities interded to incursively the Code o autouty, which occurrent endorsed publies, procedu		

Shire of York May 2023

community consultation			10-11/14/13 M/d //70/19490/			aug estimule tests appropriately		
			Mapping the sustainer expectations for each including eclass request process environments environments	1060027	8000			
fanagement of Pacifikes / Venans / Event		Res Control Las Adequate	IT or communication systems and infrast		Rick Currins Moderate Adequate	Miscondust		National Carton
anize to effectively manage the day to day specializin	s officialities, i	enúes aned or events.	Escaption, financial loss or comage to injustation then systems, instability, depradation of performance, or other failur infrastructure receiving the matching to contract to observe community. This may so may so result in 17 Disables	e of it of commission	subscalibly system or provider services to the	Detandorski activitive imendet to sitsuervent the Code o partnolog, which upperment endorsed publicle, procedu		
Actions	Due Date	Responsibility	Autors	Due Dute	Responsibility	Actions	Due Date	Responsibility
Vento Consultave to undertake depidop review of vent menegement unstedunes	Orgoing	OPC:	Implement regular testing regime for affectiveness of of Disaster Recovery Press	Ortgoing.	414	Service docataling streadures for minor pairs and equipment, portable and attactive tiens.	(062)23	192
Nereiop procedures for facility bookings and nest-ack: scients aspecially.	cinguary.	ARCENESSO	that were system was in server room to be rectified	ongoing	EHRD3	Complete Employee Induction Menuel	orgeng	direcs.
oneing formo to esclute peraisi chibi accalion Priero In al facilitat	5384488	dmp3.200400	Playewww.avail.org/arcicle.indf.the.automating providers	Children	£94003	Persev Deeganol Actority Pegisiter In ensure debute of sub-delegations are accurate.	Orgoing	
iversigner unline booking soulers to be stregreded ato Shire website for community bookings	5062122	A9C	Improve levels of service at Shire custerions the microsoft, biothering post, stepse, VRCC)	1060027	0,0	Condenselve elements for all staff, we reflighted as and proceedures	Organie	6805
feries agnese for al verses grinp consideration to the Annese and Instantion Justif	Crignerg	000/PM0				Develop and implement an annual staff transing program that inclusive refeative harring in AM postore and proceedures.	orgeng	division a
RCD sharing of information with learner is dockings.	Ongoing	6P0:				Review cash transiting processings for cubitations	10220422	54
ferres of the Brents procedures and processes to problem current risks such as terrorism	5082022	CPC				Develop and implement a periodic flexif availance is parting program for ed staff.	Graving	ENCCS.
						Decimations of control of internet when she'll estend meetings with contractors where they could influence the work.	Drighing	d1405
						Preview of durchasing segrepation and delegations after receipt of GAG Alexagement letter	1042023	<u>817</u>
						News to confirm validity of interval licences with implicated anticity end	Annual	EHRCS
	1	11 C C C C C C C C C C C C C C C C C C		T	T			

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Shire of York May 2023

Project./ Charge management		Ras Consul Moderale Adequate	Balaty and Security synchosy		Rok Control Noderate Adequate	Sumplier / Contract management		Rich Control Richardia Adapusta
Indergrafie analysis, onligh, definity and / or soluti in additional expension, hree defays or expendianges.	ipoting of cha	nge milulives, resulling in ?	Pen-compliance with the Work Health and Salety Act It is also the leadility to ensure the physical secondary distors. Other considerations are negligence or care	quirements of		Indequate management of internal Dappiers, Carlo Do sole operations. This induces insues that who for Datases in cardiact management & monitoring process	mithel ongoing a	zis iz Ganadario engage sigoly d'aniviors iz
Actions	Due Deta	Responsibility	Actave	Over Date	Responsibility	Actions	Bur Dete	Responsibility
Davaino ann impleite is proceedures amúnic Granic. Mariagaine is	0060809	70105023	Actual the Well Policy and Salies' seasons for shelf	1000025	EMOSENCIS	Puotasa estavu viena levela la incluie arma esta condetora di torna rese comprenense contect	0060602	194
Consider greate management as part of the new Opvertenent Pranescoke package	18662023	#17	Underfailere iheiming in 19745 politikes	Ompoing	8M05	Seak explanations for inservicespilation and provide information on PIC barbies authoritation	Ongeling	£1.7
implement the new contractivitystic melagement processing and forms and team at ministrations include this is aimpair witholar paining	(1062123	49405	00774 to be installed at all buildings	1-06/2022	:SPREM	implement regular membering inh commands ingeleter	Orgoing	8425
Privancial risk in completing projects in time to acquit equival gravits	99240425	2402	care records have and monotopic scatterios.	1062023	8HIDE	Cantealur Moregement Procedures	draving	8605
			Access register to be developed and maintened by Deput	drayoing.	640			
			MSDS to Deput minory - preest and register to be universe.	7496/01223	2005 830			
			Childetaka access and alant upplicities at Exercisization, KRCD and Ibusaum	1409-3024	EMdo			
			Undertaks Emergency Evecuation teatring at all Recisters	17429582	09903			
			Prost counter lepteceners fo consider upgradet perunity measures	1/06/2022	69935			
			Mechanism för degplög appennna customere and procester.	1080522	85.Y			
			Buardine volumbele alefety inductions and baining	Onableg	29403 250			
			Continue to annual safety analysis and processes into project work	106/2027	8MOE	-		

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Asset Sustainability practices			May-23							
Rok Context	0.12									
Palare or reduction in service of infrastructure assets, plant, equipmer These include fleet, buildings, roads and playgrounds and all other as	rour machinery.	Second second second second	the descent of the							
	and much see records	romprocureme	nco ospose.							
Anexistrative design and fit for purpose:										
realization anage structure purpose. In effective usage store time)										
-Culpuis hot meeting expectations.										
-Inadepute manteraece activities										
Invalaguary financial investigations.	4									
It does not include ideales with the machinghale use of the Plent, Equi		ArMaiandust.								
Patential causes include:										
	Unavailability of parts									
	ack of tormal or appropri-	and scheduling (main/enance /							
	Insequented break foreme									
Outdated Asser blandgement Plans										
Cottand Araal Hanagement Framework										
Absence of Key Asset Documents (Plans atc.)										
Child and Service Level Agreements										
insufficient hodget to maintain or reprace assals.	Portable advantive Rents in	nominy update	anul completed	1			Control	Assurance		
Hare Exercise	Type	Dete	Rating	Cuntral Owner	Cardinal	Completeness	Accorate	Treatment	Final	Connerts
Procurament Process	Preventation	Max-23	The owner water which the	ENCOS	Tat	Tes	Yau	Yes	Tes	Auditing (7 and 748) ling 5 wit compute to
			and the second division of the second divisio			1,444	1.	10000	1000	Int Joint Designed from a chapman provided to player, por
Disposia (Actasisitor) Process (Einancials	Preventative	1644-25	And an average state	2,9606	Partial	Partial	Partial	Yes	765	Ingolar Holey-Insolant, 2223123-pairs vite-
			and the second se							CMEN/ early
Reads Routine Mantenance Program	Preventative	May-25	Contraction of the local division of the loc	EMON	798	Partial	Partial	Yes	Yes	
Placi Routra: Hamimorus Program	Preventative	Mag-25	Adequate	395	Ven	Partial	Yee	Yes	Yee	Plant solds an and program learns, developed
Balklings Routine Velenserance Program	Preventative	May-21	CONTRACTOR	0801980	Partal	Partiel	Yes	Yes	765	No nutrie reactionation program frances whi sense expectation are canned out, which of mandement suprisms in method. Plan. translate
Asset Management Data Collection (PAMS and Finance)	Preventative	10.00	Contractor of	110	Partial	Tan	Yes.	Patal	Tes	Rocking and maniprisal?
Asset replacement program (broad range of asset clusters)	Preventative	May 21 May 21		20405	Parta	Partiel	Patia	Ves	Ves	And management of processes incomplete
Etatutory requirements (Concerc, etc) in place	Preventative	May 25	the second second	(8.0	Ves	Ves	Van	Vee	Yes	Partie construction of construction
				Construction of the second second	Van		Patal	Van		Dourseler troug trains promote an
All maintenance and repairs are documented	Preventative	May-23	Contraction of the local division of the loc	SHOS	19941	Partial		Yes .	798	regards, Salect Krise Hegebeled Resident an interference insue is reported all
Reactive maintenance	Recovery	May-20	and the second second	190	799	Vez	Vae	Yes	768	Personal membranes onue or resonance of considered
Insulation	Fectivery	May-23	athe system	16.04	Partal	Yes:	Yes	Partial	THE	Clams not absort submitted to Payori Office
Equipment hire available Enreded	Fectivery	May 21	Electre	ENROS	Tes	Yes	Yes.	THE	Yes	
Training provided and qualifications updated.	Preventative	May (21	Adequare	EM0051FM	Yes	Partial	Vee	Partial	Parial	incode shaft harve's Finance shaft training in t comparted to being two allerest.
					1.				the second states	
8	Diecal C	innor Ramps	Contractory of the local division of the loc							
Actors		Due Date	Responsibility	Batus of	Actions				Somments.	
Training models submitted to 3019/20 Budget process		30,04.10	EARDS	Comp		1				
Develop and use in a region for shaft that we also be reacher than	ing in productions	400-20	bhiling i	Comp						
Research and Management Plans and submit for adoption by Courted		Aux.18	610	Comp		Submitted to Av	na OCH.			
Central and experiment providere for exampling transmission party		2480-110	109	Cumplete		Circulated by Mathew				
Undertake building tak presententin in some disking with Lifely.		Sec.14	DISCUSSION	Complete		Providence by warrawy Providence that agreement plans have been adopted.				
Furname Auest Disease Projects		Canadata	ENECS.	Not 24		Will commerce	once Ald?s in	carved	Sector Sector	
Develop and explored inscrance Correction		Competiti	0.0	Not \$3					Faduate From	ineer - Asset Management as part of th
Open Gaare Asset Management Plan to be prepared		Geregieses	EMEDIC	Not Di		Formal process			a series and	
Maintenance and repairs schedules to be conumerial as a result of A	BP's Completion of the	NO-73	E MARINE	Come		formania				
Develop activit representent program for capital Aarto	AND ADDRESS AND ADDRESS ADDRES	2017-12	EMOS	Com		+				
Develop, and implement about management proceeding after developing	And in contract, the first	300.0	FARTS	in pro;		Presented to Ex	119.6.45.41	622		
Review Asset Management Plans and present to Council for name	oposite and an other states	Dec 24	E MADE	in proc					and Changel 1	May 2022. Open Space Arest Manager
Prepare Asset Displace Policy for adigition to Council		3498-23	AGC#4405	in pro-		Eval to be pres			and separate a	and stored where these contact property into
		And Die	EMOL						1111111111111	and house industry and and even the
Prepare description regar Unche Sidong				in programe (C		Designs for decommission, representent and improvement frave been undertaken and created. These v				

	Due Cale	Persponsibility	BLAILS OF ACTIONS	
	3804-19	EARDG	Complete	
production of the	440-492	EAK	Complete	
	344.18	610	Complete	Submitted to June OCH.
	2480-14	459	Complete	Circulated by Matthew
	Sec.18	DISCUSION	Complete	Now that asset management plans have
	Carigleto	ENADO	Not Started	Will commence crise AMPs received
	Competiti	0.0	Not Started	[Not yet commenced, to be undertaken by
	Gampionis	EMBDE	Not Discted	Formal processes not yet commenced
Operational plan	302-73	EMES	Complete	
	Jun 23	EMOE	Complete	
of operational plan	305-53	EARDS	in progress	Presented to ELT 19 August 2522
Contraction of the local division of the loc	Dec 24	SARDS.	In progress	Transport Asset Management Plain to be
	349-23	AGOE4805	in-progress	Evalt to be presented to AAHC 22/23
	100-23	3 GMAS	in programe.	Designs for recommission, replacement

Consequence Category	Rivk Autorgs	Ruting
	Consequence:	Admine (2)
Financial / Reputational	Litelitrez	Potabe (2)
	Overal Risk Ramgs:	Missierate

Complete	
Complete	
Complete	Submitted to Area OCM.
Cuttiplete	Circulated by Mathew
Complete	New that asset in an agentient plans have been adopted
Not Started	W8 commence once AMPs received
Not Stated	Not yet commenced, to be undertaken by new Graduate Engineer - Asset Management as part of their
Not Diarted	Formal processes not yet commerced
Complete	
Complete	
in progress	Presented to ELT 19 August 2022
In progress	Transport Asset Management Plan to be presented Council May 2029, Open Space Asset Management
in-progress	Natal to be respectively to 4447. 2013
in progress.	Designs for recommission, replacement and improvement have been undertaken and croted. These will

1	Financial / Reputational	Linear 1
		Overal Rick Raings:

Prepare designs to repair Mackie Seling

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usiness	a Comm	unity	disrup	con		
A Continut	×			_		
kire to advous	nely precare an	6 seepone	to events 9	SE CANER	danption	10.1

the local community and I or normal business addivises. This could be a material disaction, searther event, or an act carried out by an external party (e.g. subellage / terrolson)

May-23

The indudes.

I ne instance. Las of for insidepitable enangency response / business continuity plants. Las of threading for specific individualy or availability of appropriate energy-ney response. Tables in somerand and control become as a vessel, of focuring initial assessment or unitorely awareness of invident, insidepitable in energy-methic awareness and noncorring of fail loads, curring rates sto. Tails does no nucleable designation and in 15 Spatients inflater available assessment or unitorely awareness. infaituders"

Potential eases instatle:

Actions

intrinality Contribution

Indextals an energience exercised Art Investor and decision a Australia Castronic Constru-tion and experimental Construction Sec Property & Reference of Construction And Article Sector and Article And Article Article Article Article Sector and Article Article Article Article Article Article Sector Article Ar

sign funding in the budget in perubasis a presenter. Metable testing of 1¹ Security Plan and Evenesis Contrivuly Statement arcondums for effectionnes. Develop representation Rules on Contemp Plan in consultation of calls recording the local difference of out and only interfaces to bandle the base of a

penerator in the intent of an amorganity

Interingen ministerment of 10712 and Elsever Review IT Disastes Resourcey Plan-Loved Monte Plan

Staffing - Broos, Advantion and Externion

T Disastel Recovery Tetting

Cyclore, etom, fre, sarthquike, Society	"Estanded utility outage (electricity communications and)
Ferrurism / suboliage / criminal behaviour	Conternic Factors
Epdemic / Pandemic	Loss of key staff
Loss of suppliers	Scene of key inhaethatian

Key Controls	Type	Date	Rating
undional Local Emergency Management Amergeneints (LENA)	Preventative	1405-72	Attendene
kahire Rak Management Program	Proveetative	May-22	ETNetice
Ouriest management & basing (noticities installions TBC)	Preventative	May 21	Aleguit
ammunity seconery preparation	Preventativo	Maria	MARCH 1
Semmanity fire prevention education	Dravave.pove	May 25	attective .
Loress Contraity Francesch (Policy, Procedures & Pare)	Poyventable	Skypht.	STREAM
nemal Energency Management Plan (Emergency Management Yosedures and Evacuation Plans)	Hoventative	May-22	-
lerutator	Recovery	Mep-23	The second second
 Disaster Recovery Plan Retriction of alternatives to ortical initiatifucture in Asset 	Recovery	3609-223	Distance
dentification of alternatives to onlical initiathuchare in Asset Aanagement Plans	Recovery	May-23	and a state of the

CEUM Yes Yes <thyes< th=""> <thyes< th=""></thyes<></thyes<>	Control Owner	Contract Decumentant	Completeness	Accusely	Timeliness	Freud	Canorests
Challon Yes Yes Yes Yes Yes Stablon Yes Y	CERM	FVS	Tes	Ten		Yes	
Elabolis Yes Yes Yes Yes Yes 65.050 Ver Yes Yes Yes Yes Yes 170° Yes Partial Yes Yes Yes Yes DBD Partial Partial Partial Partial Yes Charge to 50 month/and call y premiet non. DBD Partial Partial Partial Partial Yes Charge to 50 month/and call y premiet non. ctantos No No No No Partial Yes rtiar Yes Yes Yes Yes Presence in the top of					Yes		
Klack Ver Tes Ver Ver Max (1)P Yes Partial Yes Yes Apple for the only frequency frequency for the only for the only frequency for the only frequency for the only frequency for the only frequency for the only for the		Yes	799		Yws	. Yes	1
Ifter Yes Yes Yes Yes Participation DBO Partial Partidition Partialininininitian Par		Yes		Yes	Y05		
DBC Partial Partial Partial Value Printing for the international strengthment neuronality. Strengthment neuronality. Strengthment neuronality. Strengthment for the internationality. Strengthment neuronality. Strengthment n		Vet		Tee	Ves	Vat	
Diffic Particle <	(TPP	790	Partial	788	Yes	145	As part of it has with that, ments and
Eduction Pelo Pelo Ado Data Value Che Maine and upair a generation for Yuane 14 (Training and upair a generation for Yuane 14) Train Yuan Yuan Yuan Yuan Yuane Yuane 14)	090	Pasal	Partal	Partiel	Partal	Van	Prairing to be underlated. Suppreset new. Charges to be recovered.
THE YES YES YES	644005	742	Na	/160		Ves	The Blow does not own a generator for Yowe Ho Emergency Interactor
ENIOS No No No No No No	304	798	198	Yee	745	140	
	19409	No	240	161	14o	142	T-04 statemen
		£	£	· · · · · · · ·			1

	Overall Control Rosings:	Advasor		
	Doe Date	Responsibility	Batus of Actions	Comments
	Constanta	160	Coruplete	Adion plan developed and being implemented
	Comprese	SMCG ILP	Complete	As part of it Security Plan
	Complete		Complete	Sanuth Plan Severiped, New IT Service Provider angaged
	Ganghene	BRNPC	Complete	BRMPC enjoyed. Plan developed and submitted to Gound. Works in progress.
	Jun-19	3049	Cumplete	Teo sommerie received - commence testing plane
	Supermond	enex.	Not progressed - superseded	Daw in sharpener in EMDE
	Completie	17.4P	Complete - test successful	Process to be reasoned by IF Prevaler
and the	Dec-20	SHOCK	Complete	Complement Dec. 2021 presented to AARC. Matrix 3 2021 and GCM March 33
	Supersected	086	To be commenced	Harvan to 8 mile org-HCP
	Gompieia	ite-	Complete	Server and UPS all end of the 1to the replaced prior to implementation of Alco Poynti, Procument and Records
	Grenphine	αe	To be commenced	COVO-RCP grapment and adapted - wider RCP completed. Review sRI required
	Geogleta	SMC-CB	Complete	Adjusts in accordance with State Conemment Mandales
	Orgolog	SMCCE	Ongoing	Precruitment and markening processes under nervice to provide othercion. Housing Strategy to be developed to support law soft "Realize Working Policy to be developed to support effecting annually in place to demonstrates to potential memory leaves the value of antibility at the Share-Manhana to eleaters theory included in recruitment advertisements. Advantance of internal secondment and higher cubes opportunities.
	FS-goA	EMCCS.	Ongoing	Latest test conducted 26 August 22. Altus products cannot be tested in the sendph environment. NBH fittee to node will reaches this teaus and above live testing. Cable is now installed, await cuterver before and of FY

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Consequence Category	Risk Ratings	Rating
	Consequence:	Moderate (3)
Service Interruption / Reputation	Likelhood:	Likely (4)
	Overall Risk Ratings:	High

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ailure to fulfil Compliance requirements (statutory, May-2					
sk Contest					
while to correctly started	in previous, passents, research and communicate later, and requiring the arms. If	of an inartestate contailance			

taliance It includes (amongst others) the Local Covernment Act. Planning & Development Act, Health Act, Building Act, Dag Act, Car Act, Freedom of Information Aut and all other legislative based obligations for Local Covernment.

It does not include Occupational Salety & Health Act (whe "Inadequate salety and security practices") or any Employment Practices Deceed Ingitiation Switer Traditional Employment (practices)

Potential			

Lack of training, awareness and knowledge	Lack of Lagal Expertise
Shaff / Councillor Turnover/Vacanvies and General Resourcing	No Contollarce Officer or person responsible for Compliance oversight and enforcement
inadequate record benging failure of corporate electronic southers	Brookdowers in the tender or percurienters process
traffective policies & precisions	Ineffective monitoring of changes to legislation

Key Conduis	Тури	Dee	Rating
Compliance Ramework I calendar	Preventative	May-21	Adequase
Advise monitoring (subscriptions & memberships)	Preventative	May 23	Effective
Annual CongRanie Return	Detective	Allay 23	Allector
Stancardeed forms & check sheets (Comutance)	Prevantukiva	May 20	Adventure
Rate Administrative Tribunal - Oreburnieran	Recovery	May 23	Activities
Necord-Keeping	Presentative	May-573	State of Lot of
NR and Audit Reg 17 Ibeviews undertaken by extependent subtor	Detective	6tay-23-	and the second
Reix and IMHS Morking Group	Certerctives	May-22	Adequate
	Overal	Countrol Ratinger	Adequate

			Control	Assurance		
Catalian Cremen	Central Becomented	Longistaness	Acures	Terativese	Fast	Constants
ENCOS	Yes	Partial	Yes	Yes	Yes	Restaural to Atlain and Government Fremework
CEC	Yes	Yes	Yes	Yes	Yes	Arrival locked allocation for memberships
414008	Vee	Yes	948	Yes	Yes	Considence on time and with accuracy
.900	Yes	Partial	788	Partial	TOP	On grang process of thresholders
1817	Vee	Fantal	Vare	Partat	Ywe	Enginated: Informat process
266	Yes	Yes	Yes	Partial.	Yes	State Owjants Act 28%
Eston	Partial	Yes	Ves	Yes :	Yes	Consultant april de térri 2025 review
EACOL	Yee	Yee .	Yes	Parial	Yes	droup existing or and overs quarterly. Other wetting graup weet-sheat

Actions	Due Date	Responsibility
Derivery a Compliance Policy Converg an internet Control Policy	Company	EMOGR
Develop an internal Control Diskoy	Envirginie	#MOGA
America Curson of Constants or response allegoed instantions for the requested on the CIE-D. Completes Compliances Cale rules	Gorigine	EMOGS
Complete Compliance Colondar	Cashgialai	ELACIDE .
Addresse sexia autiment in Financial Management Nerview	Gorighte	PM
holose datumentekser af proceduren av a KPI far at staff Establish an Olor Working Group segment in Reit Washing Group	Complete	Francisco & Department
Establish an ODR Working Group separate to Rek Warking Group	Garageeter	EMER
under take training for second cuter Aspensentatives	Garighten	FAADS
Review Mushars of ANRC in the walk new UG Aul	Complete	310
Centeriap a processo for relativity quality	307-19	EMOGE
Establish and maintain a reix register	Origining	EM026
Hervew the Hisk Register gas terly	Origoing	£9406.8
Undertakiv frakning for staff that industor reflexither training on policies, and productures including changes to the Local Government Aut.	Orgeing	69608
Review and refresh Compliance Calendar and report to AARC	hirt-23	EMODE
research number of day attacks and completion notice. Ranger absence. Risk to Level	107-23	DNC .
Butthra Compliance - WHS Procedures	Ongoing	ENACS
DMMS new recurrentients for advectos reporting Review foulding compliance for PML with WAChits	Onacena	1.44(.15
Paviax-looking constance for PML with WACK'S	Ain-23	OSC

Consequence Calingery	Hisk Ratinge	Paling
Reputation. Compliance	Consequence	Moderate (3)
	Likeihood	Gratery (2)
	Overail Risk Ratega:	Meditrate

Detroit Eleter of Actions	Comments
Comprete	Pulsy adapted as Council in September 2017
Companie	Proficy adopted by Council in September 2017
Comprete	'Code of Conclust amended and presented to the Risk & OSH Working Orcup.
Origoing	Rex training undertaken and register established with inout from staff. Roins identified in previous Reg 12
Complete	Compliance calendar established and populatind. To be reveal to new Atlain platform
Complete	34 issues addressed. On-going process of documentation of procedures.
To be actioned	34 201815
Complete	Included in Performance Review Process undertaken in May
Origoing	Presented quartery to Audit and Risk Committee
Origoing	ELT met in Avguit to prepare transing program for 22013. Full program yet to be rolled out due to other
Originaria	Complete
Ongoing	
Ongoing.	Next insident scheduled for June 2023
To be actioned	Attain software autobased and implemented for compliance forms such as annual declarations.
Current	Two (2) new Ranger's have now been employed which is resulting in a an external change in perception
Origoing	Compliance with WHE Act for volunteers. Stage one baining and recording completed by and September
To be actioned	Develop an assertness of new recording and reporting requirements for both staff and contractors peake
Degoing	Making was had with WACHS in Falswary to datamine liability for compliance items. This will be

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lina Central			
alive to adequality capture, store, protoe, relieve, provide	or displose of doournerfailing	я.	
The Instaction, Contact lates Processival denominants, personnel files, complaints. Applications, proposals of documents. Contracts.			
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nalequate access and / or security levels	Lack of system/applical		
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radequate biorage facilities (including olimate control)		And the set of the set	
tign Staff turnover	Standard Operating Pol	THE R. STORES	
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laconte Management Policy / Processes / Mancal	Preventative	Merv22	- Constanting
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CMD and staff Inducted in all inductions. Annual return requirement to advice length compliance. Annual reflected training: Additional staffing identified for records to assert other departments. Record lengting software to be purchased in 22/23 that captures social media records.
haining. Additional staffing identified for records to assocrative departments. Record lenging software to be purchased in 22/23 that captures tootal media records.
Detailed due to key staff long service leave and change in AGC. Will be compared for 2924 budget preparation
investigating how this can be linked with the records system
evestigating how this can be linked with the records system.
Regular re-minders through training. Check compatibility with new software and wigstge-records team as a stakeholder in Section making.
In the conducted as part of eerfforce plenning.

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Employment practices

18 July 2023

Plan Control Failure to effectively manage and lead turner resources (fail-b	ne pastelle caluals le	mpunuty and values	wers).							
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Employee Assistance Pergram & HR support. Cultural work:	Recovery	May 25	(Martine	-96035	7.65	Yes	Yes.	Yes	Yes	
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May-23

18 July 2023

Consequence Category	Flak Ratings	Rating
	Consequence:	Moderate (3)
Compliance, Health, Reputational, Financial	(.ikelihood:	Possible (3)
	Overall Risk Ratings:	Medurate

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May-23

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Engagement practices

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Consequence Calegory	Bird B	-	Rating		
Reputation		Consequence Likelihood	Reneric		
			Linking (2)		
	Ove	val Alsh Resings	100		

Chevill Canous Alabage

atana	Estempts
de .	Diaket Creation regages to manage 26 and indegrant accounts. Allocation in 2016/10 facture
de .	Analia deadlines delebuted. Email reminders. Constructor Consultation and Engligament Plans developed for algorithmetic
time d	Compliants reprine manitanae: Compliants Peling recoverint and lettorist October 2014
ria .	Proposal submitted to 2018/10 Biologich process for a bior of WVII to be set up in Yark. Net considered a priority of this stage. YRCC Project Officer with sense choice.
dei:	Norsposed into the mening with Medg meniate in Marce 2019
19	Consequer, as pay of the Processment Review and Juplication & whitele Constraint on the means or particulary competition
	Comparing project through incomes . Narrowy conduction in Japan 21 with free region to Council an
* 10	Active contraining Front counter and COD Accessibility Lagradies scheduled for 2012 ION
***	They eligible in regelerants over the Local Scientified Ad an being merilipited
***	Burrey is not complete and neulis being poroklered to Source kits May OCM

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Hun Contest Inadequate prevention, identification, enforcement and hamage The ecopie includeds: 4 autor to identify and effectively management of entoien issues, 4 autor to identify and effectively management and when is the set families (identified in each matching). When it families (identified in each matching). When it is included and it is the interval enforctive mercanagement of extent is number (identified, possible).		1499.								
The scope includes: Lack of schedule planning and management of ensitien issues. Failure is scheduly, and effectively manage containing and Need Ramilies (and/of including including Wheel Ramilies (and/of including including) Wheel Ramilies (and/of including including) wheel Ramilies (and/of including including) wheel Ramilies (and/of including including) wheel Ramilies (and/of including includi		inter-								
degal donato;		qui.								
Regal clearing / tand use.										
Potential causes include;	-5									
inadequade management of landfill sities	Inadequate reporting	oversightframework	54							
Lack of understanding / knowledge	Community spartly									
hadaquate local laws / planning schames	Differing land tenure (wed-conspansy to a	wientig coddine)							
Lack of understanding of cultural sequinements for Landoweers	-	T								
Prolific extractive industry (send, limestone, etc.)	Competing land use (growing occulation -	a (ontenation)				Control	Assurance		
		-	1		Castel	1		a second and a second as		1
Kiry Controls	Type	Date	Rates	Control Owner	Desarranted	Completeners	Accurate	Treatments	Freid	Comments
Environment management analym.	Preventative	May 23	Adequate	0.40	P10.	Yes.	Ver	Patial	-	Rende bothof ourgewelled. Rende herbe prenchered ommuniky names
Community education & engagement e.g. achools / new herce- owner packs	Preventative	May-23	- management	540	Fec	No	No :	Tex		Forme mener partic probable failing well as Flavo space for types bollows: Continent reprint factors. Marches and it assessment contributed
Buppor: voturieer environment management groupe	Proventative	May-23	Adopaste	EMBDD	The	Partial	Partial	Partial	Ven.	Policy for a same of parameters of an of same of articlation
Environmental menitoring, rusting and impection programs	Providiation	May-215	Effective	£140	Vae	Yee.	Ven	Vae	Yee :	
Discovage recycling efforts (glass, sit batteries, sic.)	Recovery	May-21	Adapter	750	Ym	Yes	Yes	Ym	Ym	Controllect for Waske Toknolog Statistic manage Mit Secondostic ans conclusinged to can beer on primg site. Nexate likewire fice lange offic also Soles office. As pairs of Weake managements contract???? Gengo sale 2007.
Clearing permits for read works obtained	Proventative	May-23	Adequate	040	742	Partai	700	Yaq	Yes	Pave atended their senarcial Planaig Too having through wild, GA, Have with comp pendicy assessment
Wooguilo management program	Preventative	May 23	Adequate	6145	No. No.	Yes	No	No	Yes	Nonper in metricity property objected
RAP Working to inform education of landowners	Preventative	Ster 23	And in case of the local division of the loc					1	100	Not a hole as population
Bairppe valer use education and regulation	Princerstative	3. May 23.	Process of	FO Fame & Datases	Yae	Yan.	Yes	Yes	You	i
			Adoquate							
Actions		Due Dete	Responsibility	BEAMAAN OF	Actione	Comments				4
because and document percents for most clearing percents		Complete	640 640			<u> </u>				-
Connection beaming one of the second star and a second second and the second second second second second second	CONTRACTOR STATISTICS	Experiented Complete				+				-
Contestante metalente foggang en als zwecht danne. Landenig mit punktiering teggan. Understehe inservätmistet provante im Constainer efter Change alte		Gninglinte.	EMDA	Orgoing		Contract is in plac	e. EHO hi conta	eler options and to	malahi proputi	
an seine men an		Googless	anadaras	Organg		Report passies for Copical at Feb 23 (DRI to -optical current and Marke Surger towards regional initiatives				
Engage medinarka is undertaka pipeler tužing		Compile	GHB	Not started		No assistance of the	e work comment	ring		1
conflication of new and review of current booksy stok locations	for orderspeed fors on	Organig	EMDS			1				-
Denariap a suordinated approach to dispectionaling information to eardense on velote and other environmental instatives		Orgaing	\$MD5	Cheolog		thaurs and base of able to accurrent contraction are to	mitro, Papernia not begin scand ing engaged to	etant aldh vetpolitete ecnela opmanne an hen de subdenge fi sondaut removale s destion removale s	iyoga whitey into gracialist dli migolations	
Conduct a security education program trice new water collects	or contract is regreat.	349-29	840	Onjoing		Ensemble Administration		viecting tracipal for	2100 The will	1
	k,	Orgaing	060840	Ongoing		insemication of its		and the second sec	particular for the second second	4

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Develop and implement procedures for the use of hazardous chen strategies for community consultation.	nicals to include	Jun-23	EMIDS		Ongoing	
				4		
				4		
Consequence Category	Risk Rat		Rating			
Environment, Reputation, Financial		Consecuence: Likelihood:	Posobie (3	3		
Enterinani, adjunce, reason	Over	all Risk Ratings:	Moderate			

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But Content			
Risk Context Throw considers or Selans in operational activities as a result of us			
errors, cimerators or deales in operational activities as a result of on math-quals or maccuracies in advisory atilivities to cudomans in inte		restration day process	versing acceptes
Examples include: Incomed planning, development, building, community safety and E	mentanya Managanani	Marine.	
incensed health or onumentational advice.	cardword out offerses	000100	
inconsistent messages or responses from Customer Service Staff.			
Any advice that is not consistent with legislative requirements or lo	callane.		
Phanan error Hraiocurate recording, maintenance, tenting or reconciliation of data			
inscrutive data being used for management decision making and			
Calify in service to customers			
This excludes process feitures seased by inecesseds - incomplete p	ritoedulai documentalio	 relections/epidelector 	cument Managemen
Рессилии".			
Perturbial presents instants			
Harrian erster	Incoment information		
triadequate formal procedures or training	Meconomication		
Lack of Ranson staff	Work pressure / school	*	
Unstablic separations from servership, oversil or management	Health results		
Lack of diacoverable information	1		
Poor use of shade shades / FAQ's	Lack of understanding		
Kay Centrols	Type	Date	Rating
Procummery Frider	Preventative	Map-23	Arthquate
Training for staff with purchasing authority	Preventative	Map-23	Distant
Decomented scandardised provedures / monitoring	Preventative	Mar-23	Adequate
Blaff haining program (meritoring, formal & on-the-job)	Preventative	Map-23	Activities
Decurrented information sheets / website information: FAQs to assist contonex service staff in providing advice to contoners.	Provariation	May 23	Advoints
External consultants such as legal, tuman resources, thereage	Preventative	686-23	amount
Desplaints resolution; pricess	Recovery	Nay-23	divitive
Gustomer Managerows System	Providation	May 23	-
Duelomer Service Charter	Preventative	May-23	erector
Review and monitoring of outstanding consepondence	Prevariative	Map-33	Arthquate
Certralised information systems which allows the discovery and use			
of the most up to data information	-		
	Over	all Control Ratings:	Adequate
Actions		Due Deta	Responsibility
Review Procurstment Policy to ensure considers systematic probability	& nerroral isotoping-	Gamping	ENCOS
regoramenta and lengthers of contract scriptions		rouismos	510,04
Provide Sother Intering to staff with persituating authority to enforce persituating patients.	tia med is advers to	Garquele	EMODE
identify specific and training sames for indusion in the arread budg	er genaanse	Gorgian	080
include an advection in the period budget to provide for sciences.		Gampleto	5465
advice.			

	Cartrat			Assurance		1
Control Denir	Documented	Completeness	Arminery	Timatosa	Frend	Commercia
EMC/CA	Yes	Ven	Van	Partial	Alex .	Molt-bear - mains Page 17 King 5 Houses
RM0018	796	Yes	Yes	Vec.	748	RESTOREN RELEASED
NEXAM	Pasta	Partial	Yee	2046	Yee .	Prevantaries in the processor of turing documentant
1992	Ten	Yes	Ten	Yee.	Yes	Preveng rends deviated and recipient in their Preveng Page
,400	Yee	Partial	Vau	Yes	Yes	1905 carendiçiri anceres di tekelle review.
0.65	195	744	.744	200	716	
ENDER	Yes	Yee	Van	Yee	Yes	Constants Policy advants Providence formation of the completely policy. Regular: Taming Same
46605						Cole of determini approaches
ENECE	Tes	Yes	Yes	Yes	Yes	Charlersteinwid
1985	. Yes	Partial 17.5	Yes	Partial	Yes	OS wards deployed monthly

Overa	Control Ratings:	Adequate		
tions	Due Deta	Responsibility	Birthis of Articles	Convents
nation Processionant Distry to annual consistent constation; painty & consed tangeng- government and teachment of contract our values	Gorgánia	EMCC6	Congiete	Policy was reviewed, amended and adopted by Council in September 2017. IRFO and RFT documents have been amended to include reference to valiations.
cover further training to staff with parehaving authority to orderice the receil to adhere re- nithering parlows.	Campleó	EMODE	Complete	EXECCE and FM has with individual business cells is provide for their training and answer questions: impacting proceedment. Officient authoriting payments now check for non-compliance with Policis and send an email interno to the responsible officier noting rom-central improvement during 2017/18 training wats.
welly specific staff training source for inclusion in the annual budget process	Gorgine	596	Complete	Managers and supervestrs are asked to complete a Training Hepuest Template as part of the annual textpart process which incorporate training needs dentified during betweence management process.
de die ante adveraalie en te deer van de dagen he gewonde het wekensaat. Nieze	Gorgieto	SMG	Complete	Allocations for legal action, WACA subscription services, hardage advice and consultants for specific projects where recurstd are included in the airrual budget.
matter the process to: KMC reverse of automating semespendence	Lineman .	140	Complete	Report provided to ENG monthly for review and action,

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Provide externation to all etail regulating the Contarion Service Charter and retrieven- regarding Steelstense for engeneer.	Guesphile	666	Complete	Email memo to all staff with cuatomer service chamer attached. Customer Service Chamer also sent out with 2018/16 roles rotrices.
Develop Departmentensk templeten in Atlation Ac-processoriese	Сыңдаға	64006	Complete	
Andersation is Procounterest Resonance and pression Tradings and incommunications to this Audit Convention	Gampline	ENECES	Complete	
Jodonako transregia induto amendesante la Por Presumente Paley anii tra rear Presument Alamaa	Gergéde	EMCC8	Complete	
Reden Interin Auft Managemant Lattar ant implement recommendations made	Gorgóns	200G	Complete	
Review Final Audit Management Lative and impleminist excensionications made	Gampiers	ew.	Complete	
Rouene activité Audé Management Lutter sont angénesien Terrelaise le activités manteriornalistions	Gompilite	FM	Complete	
Develop reprovement elain based on Indings of FMR and Audt Rap 17 Reviews	Lampieto	FM	Complete	
implement wated framing program that includes refractors training on procurement	Shipping	EMOOR	to progress	Next Varing seasor June 2023
Bankey Procurement Policy and Procedures	20-22	Pa	in progress	OAS recommended further separation of duties. Will be secar during Audit Reg. (7 and 7608 Reg.5 review June 2023
Monte callegate by states haves	Orgong	EMR35-	in progress	Identity terms in advance that may have impact upon orbital path
Anderselie brusinese, planning is: develop an accel register/recording. Notes:	Mar-23	EMOS	In progress	To be completed as part of the 2V/4 budget preparation
Develop the Interest for one of shaft to display current, documents and information	Jun-22	A96	ès progress	
Higgining, the customer expectations for works including action request process and looking to anyonements	dar 23	EM00	In progress	

Rating	Risk Railings	Concerptence Category
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Posinie (2)	Likethood	
Muderate	Overal Rock Robings	and the second s

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tine Catter		A Looper Barrison	
use of funds, assets, data or unauthorized access, severher attempted decirite(c).	or successful; by existin	e penne, tempe	si nasi teratik
For the purposes of:			
Fried benefit is gain by decel			
Adatticus Dansage, marking, briefling, breaking or reducing the integrity	or performance of sinker	ne-	
Theth slealing of data, ansats or internation			
Potential causes include;			
madericate exclutte of eaclament / excelles Ficash	Inadequate promision to	(patrona/staff helio	nanak
Robery	Lack of Supervision		distante en la companya de
Scan minores	Colusion with Internal s	ut.	
Ogber onne	Lack of cranity around ro	wes and responsible	tiles.
Kay Sector	Type:	Dete	Rating
Acres Building Benurity access controls (alarno, CETV, Repair ROSSI)	Preventation	884y29	Artequate
Diver Building Becurty access controls (alarma, CCTV, repped access)	Preventative	-May29	-
Depict Baileding Security access controls	Preventative	Heyda	American
ner en			
Sculpment sixuage security access controls	Preventative	Mey/23	Statistics and
T Becurity Framework (passwords - security protocols - records access)	Privedative	May 23	Disting
Sale Aerdelgonsesses	Preventative	84925	Effective
CCTV Policy, storage, disposal and anness	Preventative	May 23	THE OWNER AND ADDRESS OF
Functionality review of roles and responses to secarity components	Perenative	MayZa	No.
		Carbol Ratings	Concession of the local division of the loca
	Crerci	Car on Participa	
Actions		Cive Date	Responsibility
Temperet & CE,000 for Departopgrade: scorgerover security and access on- service	päri-oli mali-pese biolopei-	Complete:	040
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Cumut Owner	Cantral Documentat	Completeness	Accuracy	Taterbaras	Tread	Caremente
15.4-		Ten	Ten	THE	Partial	Strategy and a second s
780	Partic	THE	THE	THE	Yes	CCTV to New Cantor and Stathart. Policy for CCTV accesse: - disposed. The language of building processes.
640	749	rese	Pattal	18	Partas	Secartly gate localited with role care scatters, Secartly careeds need to be enabled abler (at Histocles in the cit plant with took.
050	Partic	Partial	Pathal	Partis	Portal	Procedure resolute be developed.
(RP	Pas.	Yes	Van.	Ves	Ves	
165	1788		115	Tes	Tes	Procedures environment

Status of Actions	Contraents
On-gring	Punde requested as part of 2018/19/Budget process for electric gate to be installed at Depot.
Congrete	Ai staf based with new tagges and P/N changed for Admin Guilding
Complete	Jactated
Complete	
Complete	T. Sincustry Plan, deaffer: and presented to Risk and CSH Working Cenup for Selektrack. Reconfiguration of Securate, internet ensures, virus control undertaken.
Complete	DCTV cameras installed over cash handling areas
Complete	Cash handing and strukture procedures documented and implemented.
Complete	
Orgony	To be school and annually - CRO - Orlanging daily barrie in updated an installed
Contrieller	
Conglela	Fort Dollars discontinued in 22/23
Complete	
Conçiete	included in 27 M28 budget doors to be investigated but the order for lighting to the back state was
Ongoing	Diffuer privatilies in building and those in have practical detivery of this item back
In Progress	Recommendation from OnD - Undertaking No: work with LOR Flowed and Complian Menagament Plan
in Prograse	A assertance with the original contract
in Progress	2.515 martier survey has located vulnerabilities that are indexed with the Sher's exterior 7 provider of New Dec. Provide to be engaged to do further audit.
in Progress	relial meeting held with at parties

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Management of Facilities / Venues / Events M					
Net Gentral False to effectively range the day to day operations of facility	les versus and i er events				
This includes leadequest procedures in palacit to manage quality or availabil Poor creati constraint leadefactor signage doorang assum Greating instructions with hims i constra diferential insuces or too leadequate overgative promises of peripheral insolates on too	ly: activening to rules of unit of bacility:				
TSTATEM SHARE SHOLES					
	Fratic conjustice or which bolking entry or esit.				
Double Societyp	Fulfic conjusters or which blocking only or est multiple three between too large to cleaning or multiple areas				
Double Gookings Bogal - excessive alcohol obiouripton	and the second				
Stormtine canatas (ECALOR) Double Localaryo Brogal: constraints abolitati opiniumtaphan Brog gegeneetis joortigi stranagare Yalahiying hingi agareemeetik pasahal oo alko i bavat dagoab)	vestillated the behavior backings for allowing or maintenance				

Key Controls	Type	Dete	Aning
Event management procedures and monitoring	Preventative	15ay-23	The state of the s
repection, repintenence and cleaning schedules	Freventative	Hoy-27	Adequate
Facility 2 Venue bricking systems (including brends)	Preventative	May-25	Adequate
American because management provertiens and monitoring	Preventative	Mill-72	Advante
Svents package (poer to here anticenation enerts, events autidionistic / amondares / shouldat;	Preventative	1049-22	Effective
inceasos settificate of currency divioland	Preventative	884-23	CONTRACTOR
Sectors from community and users of facilities	Becowhy.	88ap-55	Etherbys
Agreenwerds in place for an going yours.	Freventative	Alay-22	Astropatio

Control Assurance						
Germal Darser	Control Occumented	Catalogical	Assert	Tenetteren	Freud	Commente
6600	Yes.	Yes	Tes	- Yes -	Ves :	white example associating. International to Auropean LV/S
E1R07 Memore Instrugent	Parkal	Yes	Yes	. 994	Patel	
AGC	Yes	Yes	Partiel	Yes	Yes	Industry with furnishing benefits a sequencies of party. Eastern tradicioneter, Personne,
NG	Tes.	Yes	Peter	Ves	Pathe	
6609	Yes.	748	788	Yes	705	
AGC	T99	700	749	Yes	709	
400	. Yes	Yes.	Ten	Ves	Yes	Celevings, and forms provided.
(4) 407	Further	141	Period	14	Yes	region over a the Town had. Barkon

0/4	rall Control Rutings	Acequate
Autom	Ove Date	Mesoonability :
Bull training separate other area of vecan brackings Sprengy theology Medder our write being sprawni	Complete	NGC .
By any thinking Medide our welly keing up open	Companie	12
Dente Committee to undertake previop review of week management processory	Origoing	290
Develop procedures for beally bookings and headback - events expecteds	Cespoing	Asidemonieso
land determine resources and separate to be displayed to all from tasilities.	Crupting .	
latertain and receive Confer to caloring regime Inderfalls of anothis books and develop impact and dividegals is impacts providence.	Lingung	6060
distances of every restriction and developing and desting an in require processes.	Complete	6405
CATHED WE Balling Planes to be prepared for Drive versions	Grangelete	Discount of
Lants Consider to manfalle cealing review of event namegariest processing	Oldong	
Scales receives in tails, actings on Induck - each specials	Ohgishg	AGOTARCEGRO
Incerny form to nature details of Enacuation Parathy, et lauters	046-23	EM05 EBOHik
mentions only books optime to be any and on. For which for press by	Att 23	AGC.
Secone equips for all version giving consideration to the Access and Industrie Audit	Origining	paceseo
PECC strategist externation with scalars an according for the Denth procedures and processors to consider context that such as	Orspore	
Review of the Eventh proceedures and pervaceans to formidar, current risks with as		(R)
Fernetrente l'Arriste	all the second s	Refere

Likelined

Overall Risk Railings.

Biatas of Actions	Constanta
Complete	Training undertaken
Complete	Vakualed
Review	Events Convertise establishes and review of current provedures undertaken, internal checklist developed to assist each department with operational controls required. To initiate RAP trickings, iteles and responsibility defectors, Review to installe net of controls in trickings in incontrols.
Recen	Proceedies in place and terring linking. Priorit back is pervaled in the booking here from for careful to complete. Also through indexteds with the Swette Coordinates following at event, information should be developed another burnaries and contracts induction.
Gunglele	fam of Everyney nodes
Complete	
Considera	Events policy moves and publicles adopted by Council Manifoliop of events off in progress
Need updating	Events booking now cover setting induction, Evenuation plant updates being stought,
In Progress	RF 0 has been perparent for release to market
Complete	
in Program.	Part samplete. Residency resource has not been down. Nevers and update of all taskies to be included as part of consistent
Origoing	Percanni is be exercise: again to Briggavia for staff attendation
in Program	

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Repútation

IT or communication of	ystems and infrastructure
IT of communication s	ystems and mnasducture

[Deragles, Inserce) foos or danage to republice from a taliure of information isochnology systems, instability, depradation of performance, or other taliane of IF or communication system or investincture causing the inability to contrinue backness activities and provide avroant is the community. This may or may or not result in [T Disaster Records/Plane being invoked.

Examples include tollares or disruptions caused by: Hardwark or software Advantas Palaves of 17 Vendors Palaves P

Potential causes include;

Nasther impacts	Non-renewal of licences				
Power cubage on site or at service provider	Inadequate IT incident, politiens management & Deuster Recover Processes				
Out-dated. Inefficient or unsupported handware on software	Lack of process and training				
Software surrecability	Equipment purchases without input from IT department				
Inconcettbility between cowating systems	Volnerability to user entor				
Poor service from external IT providers	1				
Key Controls	Турн	Date	Rating		
Formal IT infrastructure marrienance & replacement program	Preventative	Mag-23	Adequate		
IT Vender eervice level Agreement.	Detective	Mas-23	2. Weather		
Initial/Locking Security (security access protocols, Trewalts)	Prinvelidative.	Map-23	Difective		
UPS	Recovery	64ao-23	Effective		
UPS If Deaster Recovery Plan	Recovery	klay-23	Effective		
Contract management. System Uner Access	Preventable Preventable	Map-23 Map-23	No. of Concession, Name		
		Concerns the second sec			

Cantrol Owner	Control Documente	Conghian 855	Accurey	Tintellosse	Front	Currenteeds
0.P.	Yes	Ves.	Yes	Partiel	Yee	Wolkin builder obteit ante
(EP)	Yes	Yee	Yes	Ves	Vac	
0.9	You	Yes	Yee	Yes	-Yee	
-51/P 	Partial	Yes	701	Partar	Yes	v kolis reaplaced
中市	Yes	Yes	Yes	Vas	Yas	2

Overall	Control Rutinge:	Adequate		
Actions	Due Date	Responsibility	Status of Actions	Comments
Develop on IT Security and Disactor Recovery Plan that memory mandemance and implemented education cars	March.	it.er	Compilete	T Security Plan coeffect and presented to Risk and OSH Working Group for feedback. Reconfiguration of ferevale, internet access, virus control undertaken.
anguistanti a protectar lo contant passoaren sen changed regularlo	Marchill	17.P	Complete	A new process has been documented to ensure passwords are changed regularly.
Reverse service or assess to service and develop a process to session security & confidentiality of intermetion	dat-life	at an	Complete	The server structure has been reconfigured and levels of access introduced.
Develop a written specification, seek quotes and engage an 'T Service Provider	Alay 28	11.4	Complete	Focus Networks orgaged as new IT support provider.
Investigate and implement transition to new communications priorider	Map-23	17/P	Complete	
Artange instaliation of EFTPOS facilities at the Nuclears	Sep-19	50P	In Progress	Alorks commenced to provide thre connections to Administration. Museum and Depet
Revenierens of access to Synengy and included changes	Complete	ECR/EMB	Complete	
Migrate ornali addresses institution tased to includual officers	Gorepiete	an a	Complete	
Review-physical ecounty of service norm-	Gampiete	百座	Compilete	Can be accessed by anyone with a master key. Conduct risk assessment to see whether measures are suitable
Arrange replacement of UPS and Server	Complete	<i>抗争</i> .	Complete	Servers upgraded prior to Allos Provulement and ECM chargesver
Implement regular lealing regime for effectiveness of II' Disaster Recovery Plan	Orgoine	ήæ	Ongoing:	Leef Disaster Recovery testing seasion conducted 20 August 2022. Altus products unable to be tested in the sandpil environment, Further testing to be scheduled as these need to be
Hick water system leak is server room to be rectified	Depine	ENIOS	Ongeinç	Has been done. Redundant services still to be nerrowed. Consider this as part of the tisk assessment for the server scenn to remedy all defects.
Review levels of service with the external IC providers	Ongoing	ENICOS	Ongoing	Contractor management meetings held with IT Vision to work to improve service. Attemative providers for support being acupit

May-23

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or communication systems and infrastructure			May-23	
mprove levels of service at Shire outstations (le museum, swimm	ing pool cepct, YRCC)	Jun-23	IT/P	
Constaurace Calegory	MAR HAR	10.0	Ration	
		Consequence:	Moderate (3)	
Service disruption		Possible (3)		
	1.00			

In Progress	NBN Connections to museum, Admin and Depot underway

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-Accessing systems and - or applications without conscit authority to do so.

Intentional activities intended to circumvent the Gode of Conduct or activities in excess of authority, which circumvent endormed

Greed, gambling or sense of entitlement

Paccount staring

Poer work cutton

Type

Preventative.

Preventative

Dravantativa

Preveniative

Preventative.

Preventative.

Preventative

Provensative

Preventative

Precentative

Belevs they's gel away with it

Sharing of confidential information

Collusion between memorial & obtenuil parties

Unitse influence from Manager/ Councillor

Low level of Supervisor or Management oversight

By-passing established administrative procedures

Delter

Nig-25

1649-23

8449-23

May 25

May-23

86625

杨安选制

This does not include instances where it was ggt an electional breach - wher Errors, Omissions or Deleys.

Misconduct

policies, procedures or delegated authority. This would include instances of -Relevant authorizations not obtained. Distributing confidencial information

Inappropriate use of plant, equipment an machinety -trappropriate use of social media Inappropriate behaviour at work. Purposiclul sabotoge

Inadequate training of side of conduct Linclustern

Delegated authority process inedequately implemented

Information leaked to Tenderers during the Tender process

Key Controls

Staff on-boarding I induction program (Code of Conduct, Staff

Strong management subure (Zero tolerance for inlacenduct)

Changing of job roles and functional authorities

Poer antoroament of policies and procedures

Monspresenting data in reports That by an employee

Extential causes include:

Eack of internal checks Covaring up poor work performance

Insubordination

Councillorsi External Audits

Police dealances

Social Media policy

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Disgrunited employees

Delegated authority framework 11 Security Framework

Annual drivers learce chades

Segregation of Artiss (Financial)

bash handling procedures

|--|

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			Control	Assurance		
Control Owner	Control Documentant	Completeress	Anaraty	Tenderse	Part	Cotenente
EM005	- Y85	Yes	Yes	Yes -	Yes	Rennie basich apple OAD rocommendations
DP TM	785	Parsa	765	795	THE	
F94	785	Vee	Yere	Yee	Partial	OCTV installed over cash hatdling areas.
EN008	768	Pariat	Vee	Vee	Ten	Induction invitial to be theirined and theiring undertaken
ENCOS	781	Yes	Tes	Yes	Title	
REOCCE	2.966 C	Yes	796	Yes	Tes	Request for policy degrances pair to college of engloyment, il implementation topproach and additional policy, classical adgressed advance incommit.
#SOCCS		Vee	Vee	Vee	Yes	Animation buy 2017, dollar decaration en-
680	705	Yes	Yes	Yes	766	Induction for all staff ingesting. Code of Condu- Procy and impairmentation. Another and Brug- Procy adaption. Colours immersize through an communications and in periors of itself rewring
685	Nes	Yes :	Vee	Yee.	Yes.	PE and instruption sites (accorded Opciender 2)
÷tø	Partial	Partial	Partial	Partal	Partial	Notes Maning GATINesbeck

Drug and Acohol Policy and Teating Program				
1	Overall Control Aathga:	Effective		
Actions	Due Date	Ampunsidity	Blatus of Actions	
Develop as If Security Francesco	335-58	114	Orgaing	Under review. Definitive training to be in
Review and dealering and handling providures for implementation of all times substances and sciences dates.	jun-16	FM.	Ongoing	To be composed for Council Adoption i Resolution (01221
Develop and implement a propodure for classifieng implicance	20112	BMCC5	Complete	Reviewed and adopted by Council Nov and levels of access introduced. Passe
Develop and applement a proceed an for gelevance reactivities	A40-18	FARCES	Complete	Cash handling procedures developed a

May-23

Patieg:

Altecutie

Adequase

20stores

-

Effective

Two Income and Stature.

Effective

20100000

Blatus of Actions	Conenesta		
Ongoing	Under review. Ealinitive training to be incorporated with Payrott officer. Part A and B to be represented.		
Ongoing	To be compared for Council Adoption in Dependent arrany year. Last conducted OCM Dec 2321 Resolution (81221		
Complete	Revenued and adopted by Council November 2017 OCM. The verver shuthers has been reconfigured and levels of access instalaused. Passwords are charged regularly. Presedits installed.		
Complete	Cash handling procedures developed and exploremented. CCTV cameras installed at all cash handling		

Develop: a Frank and Campton Frankwick for Index avery 2	Origing	EMOCR	Com
Review war access to turner publit	Complete	THE FEMAL	Com
Review stocktoring proceedures for move place and aquipment, pietable and attractive	Aur 22	File	Ongo
Comparie Employee Induction Manual	Ongoing	EMCCB	10.75
Review Dategative Authority Register to ansure data is of sub-dategations are securate	Courses	AGK.	in Pr
Underfasta itaning for all staff on HK policies and procedures	Crigovia.	EMPLICA	Onge
Denetop and implement on annual staff training program that includes reflected training in HR policies and propedures.	Cristing	EMOCE	Ongo
Review cash handing procedures for publications.	Ouc.25	PM	Com
Develop and engineeries a specialic Racit anaroneous subvice program for all staff	Crigoing	ENCOR	NUT 1
Declarations of cardiot of interast when stoff attand meetings with contractors where they	Cristing	EMICS.	le Pe
Person of perchance, segregation and beingations after receipt of OPD Management inter-	349-23	65.7	10.77
Need to confirm validity of otiver lownows with implicated employees	Annak	EMCC8	Ongo

Consequence Category	Rus Ratings	Rating	
	Consequence:	bliner (2)	
Reputation : Finance	1.Relitcod:	Particle (2)	
	Overall Riss Ratings	Acdaram	

ampiete	Approved April 2018 following consultation and distributed to all staff.
omplete	Approved April 2018 takewing consultation and distributed to all staff.
ngcing	Marg Hemaley from LG People to undertook training on 6 March 2019. To be included in annual
Progress	processing work has recommenced on the
Progress	Eask handling reflection updated planned for Containen for Change, Museum, Violar Centre, Pool and
nyeing	Yeary pricess. Next scheduled for sure 23
nacela	Yearly process: Next scheduled for June 23
omplete	Freud and Compton Framework atopter by Council at its December 22 DOM Resolution 141322
or started	To be telephone for 2023 as part of FM Loadership Training
Progress	This needs to be workshopped to see here if can implemented at the Shire.
Progress	EMCCS to meet with Mandaring to arraw their practices as recommended by CAO. Solutions will also
ngoing	This process is capitaled in the Annual Declarations wade by staff.

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Risk Context				
Prodeculate analysis, design, delivery and / or status reporting or ecopy changes.	K diange kritietwee, reeuk	ing in additional er	perses, trie delays or	
This includes: "includes: absorper management transverse to provide a set includes: absorper to the inspace of project integer with "pairwares in the transition of projects only retendent's specificities. Pairware to transmission process "pairwares to transmission process This stress not include new plant & experienced purchases. Make	the theory and	inability Practices		
Forentia causes minute:	23. 17. 2035	0.022]	
Lack of communication and consultation	Excessive growth (too r	nany projecte)		
Lack o' investment	Padecaste montoring a	and review		
Faituree of project Vendora/Contractors	Geographic or transport	difficulties source	q-equipment/materials	
External consultants underguoting on costs	Lask of project rearbody	kig icushtije a	al reporting requirements	
Ineffective management of expectations (acope-creep)	Project risks not manag	ed affectively		
nadelphile project planning (reconcestibut)	1			
Key Controls	Type	Date	Rating	Carprol Owner
Project Management Methodology	Preventativo	Nin-73	Allegante	1.67618
Status Hearting and manifoling program	Prevenative	Nap-23	(Contractor)	0005
Stakeholder engagement policy and tramework	Preventiative	May-23	Adressee	CEO
Council submission process (including Riski	Preventative	Nay-33	Adequate	EMOCS
Positgeoject detailers	Freveniative	Map-23	al and the same	699495
Risk accessments are vanished before and during projects	Freveniative	Nay-22	-	(0400-6)
Project Management Teams	Préventativo	-Met-DD	Adequate	OMD-6
	1	discussion and		
ð	Overall	Control Railings	Anguste	
Action		Oue Date	Responsibility	Status of A
Project Planning Template strategy tak assessment is doved		Feb-18	EMDS	Complete
Provide staff thanking regarding risk implications for indusion in agricult regards.		Mar-Git	614508	Complete
A second s		100.00	Englished wheth	In commune

March

Control Assurance							
Carerol Demar	Control Descented	Congressions	Accuracy	Treast	Frend	Lammenta	
194618	THE	790	Yes	Yes :	THE	-	
0440.0	Fattal	Yes	Partial	Patiel	Vww	Procession Newton is the antidetern	
080	Yes	Yes	Yes	Yes	Tes	Policy 60:512 minuted Engineering & Discontation allogited by Council (2019) 5	
EMOOS	Yes	Partal	Yes	Yee	Yes	Pigande kanalieke metudes consideration of Post Insplications 2 games	
EMMPH/	N2	Partal	Partal	Partial	Partal	andermak selec	
104910	Vm	Partial	Yes	Pastal	140	Processon Chailen	
0492-6						Franking parenet, education on process-and inself-society	

	Contraction in the second second	
Actions	Due Date	Responsibility
Propert Planning Template installing tak administration developed and during and to	Faib-18	EMEDIE
Previde stall tracising regarding risk implications for industrial in openda moderte.	Adam-GR	-ENCOS
Convert Project Management Training no research staff	dam-18	Fertilitate stuff
Projekt ywww.indokting.collectures_reporting=ktalisindeter. ongegemeni, nek assessioned and honoid dobiet ass	ayar-16	Pois-ankeat
polycenerika (ko polyci) Underska stali Polycena je indicka amendenera je Peterament Palicy and see Polycenerica Marcal	Sec-19	686006
instrumentale reasons of dearest resident who augesteria FACR processes	Supermedical	614
Revine Project Repagariant answerang and densing attacked	Gartatete	CARDS
Review Projekt Galegianust aniversity and centers analytics Develop and implement procedures and roard Trains Management	ALT: ALT: A	FINEMOS
Consider grants exanagement or post or the new Government Planeworks package	446-22	111
instelland the new Contractor project management or conduces and forms and that all	60.00	EMACHS
Forancial risk in completing projects in three to account against grants	Dan -23	EMDE

Status of Actions	Gaurantia			
Complete	Fish tables distributed to all staff. Agenda writing auchalogs conducted where elements of the agenda			
Complete	Project management training provided to relevant staff.			
ir progress	Frigetriplan developed. To be disinitized to all staft			
Complete	Project management training provided to relevant staff.			
Conglete				
incomplete	Review existing and incorporate into project procedures			
New	Example the new Optienment Freiherend's ENP to mittake grant management and reporting similar to			
Incomplete	This process has not been conducted. New process to be developed for regular seview as the FACR			
Complete	EMD6 has produced contractor management suite of information and has provided to the DAG as per			
at Programs	Training has been concurred with all revevant staff. Retrister manning to be scheduled in 25/24			
br progress	Project apreadsheet (appures grant spending and reports in a doubloosed to highlight risk. Will be rolled o			

Consequence Category	Risk Ratings	Rating
Pinancial / Reputational / Nexith	Consequence:	Minur (2)
	Likelhood	Anandra (1
-2 where below 1 is addressed as that it is remained		
	Overal Pisk Matrids	Moderall

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Safety and Security practices			May-23							
Risk Context										
Non-compliance with the Work Health and Safety Act, associated	rary failings and sheet	anda.								
I is also five inability to answers five physical secondly requirements			and a contraction							
techaence or camberaness.	The second second second second	CARDER SAME INC.	1000 0000 000							
and the second										
Polential causes include;										
ack of appropriate RPE / equipment	inadequate signaps, b	arriers of other exclu	NOT SCRIMENTS							
tradequate first and supplies or trained first adders	Posr uterage and uper	And in the Party State Party and and party of the								
no lequate society pretector measures in place for buildings,	irefectio relation		a sufficient for a sufficient of							
lapots and other places of work	requitements	a manafir a surday da a	California Statione							
nadequate or unsafe modifications to plant & equipment	It aris of mandate and o	owner-itmant from sar	ic: manacement							
radequale policy, transworks, systems and abucture to prevent										
hairgury of waitors, staff, contraction and/or lenants.	Sevanualisé diagrama.									
radequate supervision, training or mentoring of staff	Slow or inadequate res	increase to restlication	a from public	[Control	Assurance		
					Earthul		Common	Assorance		
Key Controls	TO PF	Date	Rating	Control Owner	Documented	Completeness	Accuracy	Timetivess	Fraud	Comments
kalding Security access controls (alarms, CCTV, keyped	Preventative.	Mey-23	Adequate	(SP)	Yes	Yes	Yes	Yes	Patial	
konsti RPIS Manapament Frankrachk	Preventative		Adequate	DBC	Partal	Parts	Paria	Pata	-	- Changer
nimo teanagement in anteixon. Seeleastor são evôcitôrio	Preventative	May-23 May-33	And a contract of the	Educit Educit	Partial	Van	Ves	Viet.		internet.
Saft site inductions	Preventative	56ar-23	Effective	ENDO:	701	Yes	Ywe	766		
Ding and skeitol policy	Prevaritative	Mag-23	Effective	SMCC5	Yes	Parist	Partial	Parta	Yes	1
the second se		and the second second second		-						Targe EAP is place (closets) 1025 when
Employee Assistance Program	Preventiative	3May-20	Adequate	8MCC8	Tex	Partie	Periat	7946	700	Mercel
sazardous Substance and Dangereus Doods regelers	Preverioative	Niaj-23	Contraction of the local division of the loc	\$40	Partal	Paria	Parial	Pata	Yes	
iaalh and Wallhaing program	Preventation	A442-23	Aneciale	EMCC2.	Partial	Yan	Vale	Vee	Yee -	Engring shalp propiers
readent register / incldent reporting procedures	Preventiative	Meg-20	Effective	080	Yes	Yee	Yee	Yes	Two	copering do recollectly happen
Inpanisational Emergency Management Plan and evaluation Regresse	Preventative	May-28	Parates	085	705	Partal	Parta	700	798	orgoing as the moment
furchasing policies and pricedures consider safety insures	Prevansative	Mag-23	Acequate	4MGDB	Tes	Ves	Partal	Patia	7.00	Does the publicase of the treed to be set contract conditions.
Negular columented safety inspections	Preventative	Way-23	Effective .	090	Yes		YOR	Patta	795	Autolettakiet in Jakualy 2019
Falle work practicies (Salle Work Method Statuments)	Discondative	Mag-378	Adequate	445	Purtal	Parial.	Partial	7.96	Tes	
focilias meetings	Preventative	Mer-12	Contract of the second	W3	Yes	Yes	Yes	Yes	Yes	-
tarnes. Ros. aidem	Preventative	Marca	Effective	ESOCIS	Yes	Yes	Vare	Yes	Yes	-
leturi to work programs	Receivery	May 28	Asequete	目中	Partial	No	No	Partiel	Partial	
statish WHS Contritie	Preventative	M#1-33	Adequate	090	1					-
Indext safety procedures and policies into project management tenework including contractionsafety management hardbook	Presentative	Mei/28	Processing of the		1					
Selfo training	Preventative	Mar-23	Alexan	-	1	h 1		1.	· · · · · · · · · · · · · · · · · · ·	Training process
								A		The second se
	Overa	Control Ratings:	Adequar							
citors		Dur Date	Responsibility	Glatus of a	Actions			i.	Community	
ntablah procedures in hances with LOIL for \$40		340.18	ERECT.	Complete		Bapport provide	et or a case by	class bissis, Erry	pinyweir ane re	commend to the service when requi
IndeterMeterdown Endodence with Dangerown Octoby Register		Mar-16	040	Complete						
Investor processors for the implementation of the Alcohor & Othe		Complete	EMOD8+08H	Incomplete						trategy for use to understand the de
inventes and implement a Galeriansa, Balanty and Harassmenter	radiation procedure	Aut-10	EMCCL	Complete		Approved April 2018 following consultation and distributed to all staff				
Undertake service scrett policies		Superveded	EMODE	Complete		Analganiated with later staming tern				
implement the resonance stations of the Europerusy Researce report		-Aut-10	150	Cicked		New out of date. Replaced by regular audits and emergency execution item halow.				
Inglement action pain incorporating results or ODH Apalt		Complete	100	St Progress		Work being undertained by contractor currently Sign in beand for staff and visitor log for others - origining as the decoment is like				
Forme Salety Wardons at publishing and provide learning		Cingaing .	ESO EMOS	Origoing						ter skonsternise tile
Beek guiles to raw FROG and Gyri access and an ange miliateteri		Gamplete	(DP-RM	In Progress				accelon training		
Update procedure to reflect Lord requirements for the ground offendance		Dec-12	EMES ESO	Complete				existing hardea		
Clarification from Lifeti on private vehicle attendence to a five ground		099-22	045 6GM3	ir Progress						et and roled out for all staff. New ha
Adop: the WHO Poicy and Safety Manuals for stuff		340-23	FREEFREE	Ongoing				e training sched.		
ndertake starting in WHE publies		Origoing	TMOS	Complete		The backplaced	by regular long	ections Haterts	will be captured	red on register. Contact Worksafe to
C.Y to be installed at all holdings		240-23	THE STREET	Complete						etts conducted to date.
polata Europaten Plana for the Depot to include new adourty p	eleo and undertake	Jun-23	EMOS	ie progress				ripheets weed op		
Nicess register to be developed and mailtaned for Depit		Chigoria	Ok0 EMIDS-ESO	pt thothase						dert to Administration building. Other ething can occur at all feedbles. Econo
stiD6 for Depixt minerig - sheats and replice to be updated		Jun-23		Se programs						

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Undertake access and eleminupgrades at Adeviewhereon. Y HCC and Museure	300-24	UMOS
indertake Emergency Enacuation serving at all facilities	2001.22	EMOS-
Front countier replacement to consider appracted security measures	201-23	EMICS.
Nechanism the flagging agridance commons and originitiate	364-23	E.F
Bushilise volunteer safety inductions and harming	Ongerig	EMDS E30
Controle to embed safety practices and processes into project expli-	300.73	EMID5

Consequence Dategory	Rick Ratings	Rating	
	Consequence:	1404-938-37	
Health	Likelihoorf	Possible (3)	
	Overall Rink Ratinge	Macherson	

in progress	To be considered as part of the refurbativenit project somewary execution of the refurbativenity with approximations are concerned whether minimary exists may
en progress	Construction of the second
Carquiete	
Complete	
Ongoing	

Note: This list is exhaustive of all WHS actions as further Hens are reported through the WHS Working Group

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Supplier / Contract management					
Risk Contest					
tradequate management of external Scippliers. Contractors. IT W issues that arise from the ongoing supply of services or failures/or					
ftis also includes: • Consects for issues: (contracts awarded to one supplier) • Vendor sustainability					
Futeritial seases include:					
keutlicient funding					
Complexity and quantity of work	Ineffective monitoring of	deliverables			
Suppliers not witting to provide quotes	Limited availability of suppliers				
Incidequate tendering process.	Lack of planning and clarity of requiremente				
Contracts not renamed on time	Helorical contracts rem	aining			
Key Controls	Type	Date	Rating		
Strict procurement? tender processes	Preventative	Macd3	Adequate		
Contract management and review program	Preventative	Mai (23	Adequare		
Regular supplier / contractor review meetings	Preventative	Mor-23	Adequate		
Legal advice (to confirm convect chatting of excumentation and to prevent unknowingly accepting liability of the contractor or other parties)	Owatstee	Mar-23	Effective		
Contractor Online Induction	Preventative	Mit-23	Efective		
Contract/Project Management Prodeire	Preventative	Mar 28	Contraction of the local division of the loc		

Control Assurance								
Control Clarver	Documented	Compilations	Accuracy	Tonalitates	Frand	Commande		
EMOC8	Tes	Yes	Yes	Pasa	Yes	Procurement Palicy in plane and implemented, frender documentation using VPACIA lengates procurement Hansai		
8/MI05	Partiel	Partial	THE	THE	Tes	Photoeners Henrie		
EMD5	Yes	Yes	Pariat	Yve	Parta	As required. This formul presses.		
EMOS	Yes	Yes	Tee	Yes	Yes	WA GA serginic as year		
			-			Investigated next greek		

1	Overall Control Parings:		
Actions	Due Date	Assponsibility	
Adjust Physiological Calder Form to provide space for defails of poststerm is sugget.	84g-17	114	
Mean with menoritari kuranaan rada ta provide former Kaning se- procurament poles	Step-17	ENOCE	
Review Delegation (2011) to review delegation ratio inste- to-des	\$5m-37	RMCCR	
Fatanlini, a registre of acetands.	Complete	ENIDE	
Prevala sentia dei detaction Raining Develop Disectori al Russiano Ethen	Complete	260 EMCOS	
Develop and implements a process for progress reporting on thiss contracts. Implement process for a colora-contractor inductors	Complete Cosmplete	EMAGE TSD	
Parchade enders need review to tockide terms and conditions to form a new Seek explorations for non-compliance and provide information on POTestime	Jan 23 Ongang	754 E) T	
Indement regular worklang of contracts register. Contractor Blandgament Procedures	Organg	EMDS	

NUMPS OF ACTIONS	Comments		
Complete	EMCCS are FN have attended meetings with sect outment unit to answer questions and starty the percurament process.		
Armuel	Completed aut year. All officers bained in new Albis procurement and refresher. Add to baking roster.		
Ongoing	Authorising officiers seek intermation from purchasing officers. Delegators itemoved by SoundLat Non-ember 2017 OCM		
Complete			
Orgoing Complete	Plagester establisher: Contract dates being renewed. Quotations sought for outcing services. Formal Interpret by Council 28 Aug 2019. Acadatolis to public on Shins Webpage.		
Organg Organg	This practice has been embedded now. Non-compliance is reduced by the new Alass Procurement system Disrestra/Velpic system rolect sur to all contractors who attend thine premises and a tribuwer day with		
Complete	Contract register complete and in use		
Orgaing	To assagement with methantic management procedures		
digwing	EWICD has produced contractor management suits of information and has provided to the OAG as per-		
in Progress	Reference material available. Workshop to be undertaiven to ascertain draft, Lawyer to complete 7.6/Ca		

Consequence Category	Flisk Rotings	Rating	
	Consequence:	Moderate //l-	
Service interruption, Financial	Carrierocary 2 - and a data to		
	SAMATAN AGEN HARDINGS	Marged and	

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10 MOTIONS OF WHICH PREVIOUS NOTICE HAS BEEN GIVEN

Nil

11 QUESTIONS FROM MEMBERS WITHOUT NOTICE

MR PETER CARDEN

Question 1

Presumably the impact(s) of the Aboriginal Cultural Heritage Act 2021 will be listed as a risk on the Register given the level of community concern?

Response provided by the Chief Executive Officer:

The Shire will need to assess and determine what impacts may apply to operations. The current State Government position in the first twelve (12) months is an education process rather than compliance enforcement. The Regulations, which are yet to be drafted, will provide the level of clarity required.

MS SHONA ZULSDORF

Question 1

It appears the Due Diligence Assessment is risk based, hence it could be reasonable for inclusion in the Register.

Response provided by the Chief Executive Officer:

Local Aboriginal Cultural Heritage Services (LACHS) will be established as the Aboriginal corporations responsible for the risk assessment. The Ballardong Corporation has been established in Northam with the potential to become the LACH for this region. A potential risk for the Shire would be instances where matters required to be referred to a LACH for assessment are not, as there are significant implications to the individual and organisation if that were to occur. The Shire will include requirements to assess all work programs and projects for referral to LACHS in all preplanning work.

MR KEVIN TRENT

Question 1

In regard to the impacts of the Aboriginal Cultural Heritage Act 2021 on road construction, will we provide advance notice to the LACH for the assessment of our road program to avoid potential delays?

Response provided by the Chief Executive Officer:

Yes, that will need to be included in the process, though it may depend on the level of road upgrade/works to be undertaken as to whether referral for assessment is required. At the moment the Regulations and LACHS are still being established. The Shire will include requirements to assess all road projects for referral to LACHS in all preplanning work.

12 BUSINESS OF AN URGENT NATURE INTRODUCED BY DECISION OF THE MEETING

Nil

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13 CLOSURE

The Presiding Member thanked everyone for their attendance and closed the meeting at 3.44pm.